

Kaiser Ritter Partner
Privatbank AG

Fees, Charges & Commissions



Brokerage on shares, fixed-interest and similar securities

Amounts in CHF or equivalent¹⁾

		Domestic (FL/CH) bonds/shares	Foreign bonds	Foreign shares
up to	50,000.–	0.80 %	1.20 %	1.60 %
50,001.–	to 150,000.–	0.70 %	1.10 %	1.50 %
150,001.–	to 250,000.–	0.60 %	1.00 %	1.30 %
250,001.–	to 500,000.–	0.50 %	0.90 %	1.20 %
500,001.–	to 750,000.–	0.40 %	0.80 %	1.10 %
750,001.–	to 1 m	0.25 %	0.70 %	0.85 %
	over 1 m	0.20 %	0.50 %	0.70 %

¹⁾ The relevant rate applies to the whole transaction amount and includes outside brokerage. For the customer this has the advantage that, in contrast to the graduated scale (sliding scale), Kaiser Ritter Partner Privatbank's fee structure is more transparent and more cost-effective.

Minimum fee per transaction (brokerage)

Domestic/Swiss contract	CHF 100.–
Foreign contract	CHF 100.– plus outside expenses

Outside brokerage

Where outside brokerage fees exceed the rate charged by Kaiser Ritter Partner Privatbank, domestic rate plus outside brokerage will be applied.

Brokerage on EUREX-traded options

Contract value			per contract
the first	CHF	10,000.–	1.10 %
the next	CHF	15,000.–	0.80 %
the next	CHF	25,000.–	0.50 %
from	CHF	50,000.–	0.30 %
Options traded on foreign stock exchanges			on request
Financial futures			on request

Minimum CHF 100.–

NOTE US legislation requires that specific forms be filled out before investments in US securities (shares as well as US bonds or money-market securities) may be made.

Issuing commission on Seri-Value fund shares

Income	1.50 %
Global Portfolio	1.50 %
Europe Equity Growth	1.50 %
America Growth	1.50 %
Far East Equity Growth	1.50 %
Alternative & Equity Fund-of-Funds	1.50 %

A change within Seri-Value funds shall involve no further issuing commission.

No further brokerage is payable.

Asset-management fees*

Total amount in CHF or equivalent

up to	2 m	(minimum CHF 7,000.– p.a.)	0.70 % p.a.
	2 m	to 5 m	0.65 % p.a.
	5 m	to 10 m	0.60 % p.a.
	10 m	to 25 m	0.55 % p.a.
		to 25 m	0.50 % p.a.

The relevant rate applies to the whole transaction amount. For the customer this has the advantage that, in contrast to the graduated scale (sliding scale), Kaiser Ritter Partner Privatbank's fee structure is more transparent and more cost-effective.

The asset-management fee is charged quarterly and is based on average total amount of assets as measured on a number of qualifying days throughout the year.

No asset-management fee will be charged for Seri-Value fund shares.

A higher rate applies to asset management with other banks. This information may be requested from Kaiser Ritter Partner Privatbank.

Fiduciary time deposits*

(minimum of CHF 250,000.– or equivalent)

Investment volume			p.a.
up to	CHF	500,000.– or equivalent	0.500 %
up to	CHF	1,000,000.– or equivalent	0.375 %
from	CHF	1,000,000.– or equivalent	0.250 %

Minimum CHF 200.– or equivalent per contract

Call-money investments

Minimum amounts			
CHF	250,000.–	USD	100,000.–
EUR	100,000.–	GBP	50,000.–

Fee for administration of securities and for precious-metals account*

up to	20 m	0.35 % p.a.
from	20 m	0.30 % p.a.

The relevant rate applies to the whole value of the deposit.

This includes all charges incurred for administration and safekeeping of the securities deposit (including outside expenses):

- Collection of interest, dividends, and refunds
- Corporate actions
 - capital increase
 - share split
 - capital stock
 - general meeting of shareholders
 - change of name
 - merger, spin-off
 - reverse split

This fee is charged to the account quarterly and is based on average deposit value as measured on a number of qualifying days throughout the year.

The minimum fee is CHF 200.– p.a.

No administration fees will be charged for Seri-Value fund shares.

Payment transactions

CHF and EUR credits	CHF 10.–
Credits in other currencies	CHF 25.–
Per Swift- / Fax confirmation	CHF 25.–

Any other costs incurred will be passed on to the customer.

Checks

Issue	CHF 25.–
Redemption	CHF 35.–

Plus outside expenses

Fee for account-keeping per account

Per Quarter	CHF 20.–
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Overdrafts

In connection with short-term overdrafts, debit interest is calculated at a rate that is governed by the market.

Mail retained by bank*

Per Year	CHF 200.–
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No additional charges are made for entries, postage, dispatch costs, etc.

Turnover commission

On commissionable debit transactions¹⁾

- up to CHF 1 m or equivalent, sales commission of ½ ‰ is charged, up to a maximum of CHF 300.–
- commissionable debit transactions over CHF 1 m or equivalent are governed by separate agreement.

¹⁾ Commission-free: transactions in securities, currencies, and precious metals.

Remuneration guidelines

Kaiser Ritter Partner Privatbank reserves the right to change remuneration guidelines at any time. Taxes and duties (e.g. stamp duty, tax at source, or coupon tax) are charged as outside expenses.

For cash transactions in foreign currencies a separate charge is made. Delivery of securities or other special expenses are charged separately.

For renting safe-deposit boxes there is a separate rate.

Other services on application.

* For clients domiciled in the Principality of Liechtenstein or in Switzerland, fees and charges are subject to statutory value-added tax, which will be shown as a separate item.

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